

12/04/02

28364

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December 3, 2002

Commissioner of Patents  
Washington, DC 20231

APPLICANT: William G. Wilhelm  
SERIAL NO.: 08/820,496 (CPA)  
FILING DATE: March 19, 1997  
TITLE: HIGH EFFICIENCY LIGHTING SYSTEM  
EXAMINER: F. Fleming  
GROUP ART UNIT: 2836  
OFFICE ACTION: July 3, 2002

Dear Sir:

Enclosed please find Amendment and Request for an Extension.  
Fee Transmittal Form  
Certificate of Mailing

Please acknowledge receipt on the enclosed postcard.

Respectfully yours,

*Alfred M. Walker*  
Alfred M. Walker  
Reg. No. 29,983

PAT27

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail No.: EV 089662189 US in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: December 3, 2002

*Lisa H. Russo*  
Lisa H. Russo

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TECHNOLOGY CENTER 2800

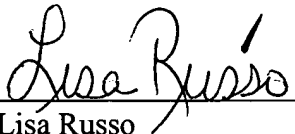
Wilhelm  
08/820,496

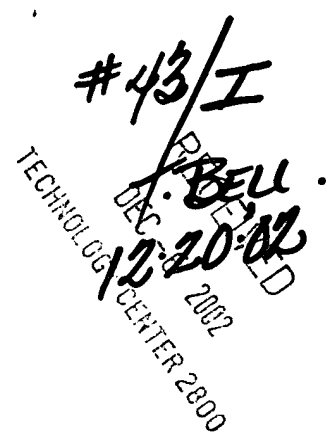
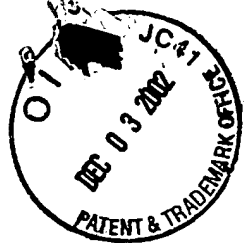
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on December 3, 2002

  
\_\_\_\_\_  
Lisa Russo



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William George Wilhelm  
Ser. No. : 08/820,496 (CPA)  
Filed : 03/19/97  
For : High Efficiency Lighting System  
Art Unit : 2836  
Examiner : F. Fleming

AMENDMENT

Assistant Commissioner for Patents

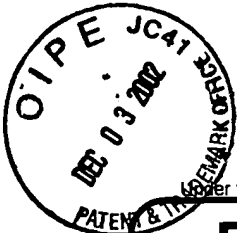
Washington, DC 20231

Sir:

This is in response to the Official Action dated July 3, 2002.

Please charge a fee of \$200 for a two month extension of time to respond to my  
account number 23-0120.

Please amend the application as follows:



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200.00

### Complete if Known

|                      |                        |
|----------------------|------------------------|
| Application Number   | 08/820,496 (CPA)       |
| Filing Date          | MARCH 19, 1997         |
| First Named Inventor | WILLIAM GEORGE WILHELM |
| Examiner Name        | F. FLEMING             |
| Art Unit             | 2836                   |
| Attorney Docket No.  | NEXTEK-LIGHTING        |

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 23-0120

Deposit Account Name ALFRED M. WALKER

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity  | Small Entity  | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) |                        |          |
| 1001 740      | 2001 370      | Utility filing fee     |          |
| 1002 330      | 2002 165      | Design filing fee      |          |
| 1003 510      | 2003 255      | Plant filing fee       |          |
| 1004 740      | 2004 370      | Reissue filing fee     |          |
| 1005 160      | 2005 80       | Provisional filing fee |          |
| SUBTOTAL (1)  |               | (\$)                   | 0        |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 8                  | -20** = 0    | X 0 = 0        |          |
| Independent Claims | 2            | -3** = 0       | X 0 = 0  |
| Multiple Dependent |              | 0 = 0          |          |

| Large Entity  | Small Entity  | Fee Description  |
|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) |  |
| 1202 18       | 2202 9        | Claims in excess of 20                                     |
| 1201 84       | 2201 42       | Independent claims in excess of 3                          |
| 1203 280      | 2203 140      | Multiple dependent claim, if not paid                      |
| 1204 84       | 2204 42       | ** Reissue independent claims over original patent         |
| 1205 18       | 2205 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity  | Small Entity  | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) |  |          |
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     | 200      |
| 1252 400      | 2252 200      | Extension for reply within second month                                    |          |
| 1253 920      | 2253 460      | Extension for reply within third month                                     |          |
| 1254 1,440    | 2254 720      | Extension for reply within fourth month                                    |          |
| 1255 1,960    | 2255 980      | Extension for reply within fifth month                                     |          |
| 1401 320      | 2401 160      | Notice of Appeal   |          |
| 1402 320      | 2402 160      | Filing a brief in support of an appeal                                     |          |
| 1403 280      | 2403 140      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,280    | 2453 640      | Petition to revive - unintentional   |          |
| 1501 1,280    | 2501 640      | Utility issue fee (or reissue)   |          |
| 1502 460      | 2502 230      | Design issue fee   |          |
| 1503 620      | 2503 310      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 740      | 2809 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 740      | 2810 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 740      | 2801 370      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 200

### SUBMITTED BY

(Complete if applicable)

|                   |                         |                                   |                  |           |              |
|-------------------|-------------------------|-----------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | ALFRED M. WALKER        | Registration No. (Attorney/Agent) | 29,983           | Telephone | 631-361-8737 |
| Signature         | <i>Alfred M. Walker</i> | Date                              | DECEMBER 3, 2002 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.